

PATENT APPLICATION TRANSMITTAL LETTER  
(Large Entity)

Docket No.  
PU3948US2

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

For: **ITERATIVE ANALYSIS OF NON-RESPONDING POPULATIONS IN THE DESIGN OF  
PHARMACOGENETIC STUDIES**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL395941883US**  
☒ Three (3) sheets of drawings.  
☐ A certified copy of a application.  
☒ Declaration ☐ Signed. ☒ Unsigned.  
☐ Power of Attorney  
☐ Information Disclosure Statement  
☐ Preliminary Amendment  
☒ Other: **Sequence Listing, electronic copy of Sequence Listing and Statement regarding Sequence Listing**

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	19	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	7	- 3 =	4	x \$80.00	\$320.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
TOTAL FILING FEE					\$1,030.00

- ☐ A check in the amount of to cover the filing fee is enclosed.  
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **07-1392**  
as described below. A duplicate copy of this sheet is enclosed.  
☒ Charge the amount of **\$1,030.00** as filing fee.  
☒ Credit any overpayment.  
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,  
pursuant to 37 C.F.R. 1.311(b).

Dated:

*April 5, 2001*

*Frank P. Grassler*  
Signature

Frank P. Grassler  
Registration No. 31,164



23347

CC:

PATENT TRADEMARK OFFICE

P01LARGE/REV06

Express Mail  
EL395941883US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Allen David Roses

Docket No.

PU3948US2

Serial No.

To be assigned

Filing Date

Concurrently herewith

Examiner

Group Art Unit

Invention:

Iterative Analysis of Non-Responding Population in the Design of Pharmacogenetic Studies



I hereby certify that this Utility Patent Application under 37 CFR 1.53(b) and accompanying papers  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

April 5, 2001  
(Date)

Marilyn Eldridge

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL395941883US

("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**

SCANNED, # 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Allen David Roses

Serial No.: To be assigned

Filed: Concurrently herewith

Title: ITERATIVE ANALYSIS OF NON-RESPONDING POPULATIONS IN THE  
DESIGN OF PHARMACOGENETIC STUDIES

STATEMENT TO SUPPORT FILING AND SUBMISSION IN  
ACCORDANCE WITH 37 C.F.R. § 1.821-1.825

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821 (g), does not include new matter;
2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821 (c) and (e), respectively, are the same; and
3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted

Date

2/5/2001

Frank P. Grassler

Frank P. Grassler  
Attorney of Record, Reg. No. 31,164

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